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PTO/SB/29 (12/97)

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<div style="writing-mode: vertical-rl; transform: rotate(180deg);">03/15/00</div>	<div style="writing-mode: vertical-rl; transform: rotate(180deg);">JCS86 U.S. PTO</div>	UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No. 9967-003-999	Total Pages																				
		First Named Inventor or Application Identifier																						
		Ken Xie																						
		Express Mail Label No.	EL 451 593 710 US																					
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>			ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																					
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form [Total pages 2] <i>Submit an original, and a duplicate for fee processing</i></p><p>2. <input checked="" type="checkbox"/> Specification [Total Pages - 33] <i>(preferred arrangement set forth below) (Includes Appendix A)</i></p><ul style="list-style-type: none">-Descriptive title of the Invention-Cross Reference to Related Applications-Statement Regarding Fed sponsored R&D-Reference to Microfiche Appendix-Background of the Invention-Brief Summary of the Invention-Brief Description of the Drawings <i>(if filed)</i>-Detailed Description of the Invention <i>(including drawings, if filed)</i>-Claim(s)-Abstract of the Disclosure<p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 7]</p><p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 2]</p><div style="margin-left: 20px;"><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below]</p><p>i. <input type="checkbox"/> <u>DELETION OF INVENTORS(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</p></div><p>5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p></div><div style="width: 48%;"><p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p><p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p><div style="margin-left: 20px;"><p>a. <input type="checkbox"/> Computer Readable Copy</p><p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p><p>c. <input type="checkbox"/> Statement verifying identity of above copies</p></div></div></div>																								
ACCOMPANYING APPLICATION PARTS																								
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 3 pgs.</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>14. <input checked="" type="checkbox"/> Small Entity Statement(s) [2 pages]</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Other:</p>																								
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/283,730 filed April 1, 1999.</p>																								
18. CORRESPONDENCE ADDRESS																								
<div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Customer Number or Bar Code Label</div><div style="border: 1px solid black; padding: 2px; text-align: center;">20583 <i>(Insert Customer No. or Attach bar code label here)</i></div><div><input type="checkbox"/> Correspondence address below</div></div>																								
<table border="1" style="width:100%; border-collapse: collapse;"><tr><td style="width:15%;">NAME</td><td colspan="4"></td></tr><tr><td>ADDRESS</td><td colspan="4"></td></tr><tr><td>CITY</td><td style="width:20%;">STATE</td><td style="width:20%;">ZIP CODE</td><td colspan="2"></td></tr><tr><td>COUNTRY</td><td>TELEPHONE</td><td>FAX</td><td colspan="2"></td></tr></table>					NAME					ADDRESS					CITY	STATE	ZIP CODE			COUNTRY	TELEPHONE	FAX		
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PENNIE & EDMONDS LLP

COUNSELLORS AT LAW
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 New York, N.Y. 10036-2711
 (212) 790-9090

ATTORNEY DOCKET NO. 9967-003-999Date: March 15, 2000

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Ken Xie *et al.*

Executed on: March 14, 2000

Title of Invention: A METHOD, APPARATUS, AND COMPUTER PROGRAM PRODUCT
 FOR A NETWORK FIREWALL

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	20	-20	0	\$18.00 each	\$ 0.00
Independent	4	-3	1	\$78.00 each	\$ 78.00
Minimum Fee					\$ 690.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
Total					\$ 768.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 384.00
Total Filing Fee					\$ 384.00

- ☐ Priority of application no. filed on in is claimed under 35 U.S.C. § 119.
☐ The certified copy of the priority application has been filed in application no. filed
☒ This is a continuation-in-part of application no. 09,283,730 filed April 1, 1999.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,


 Julie M. Holloway

44,769
 (Reg. No.)

For:

Thomas E. Friebe (Reg. No. 29,258)
 PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.